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A higher CD34+ cell dose correlates with better event-free survival after KIR-ligand mismatched cord blood transplantation for childhood acute myeloid leukemia

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Abstract

Although killer Ig-like receptor ligands (KIR-L) mismatch has been associated with alloreactive natural killer cell activity and potent graft-versus-leukemia (GVL) effect among adults with acute myeloid leukemia (AML), its role among children with AML receiving cord blood transplantation (CBT) has not been determined. We conducted a retrospective study using a nationwide registry of the Japanese Society for Transplantation and Cellular Therapy. Patients who were diagnosed with *de novo* non-M3 AML and who underwent their first CBT in remission between 2000 and 2021 at under 16 years old were included. A total of 299 patients were included; 238 patients were in the KIR-L match group, and 61 patients were in the KIR-L mismatch group. The cumulative incidence rates of neutrophil recovery, platelet engraftment, and acute/chronic graft-versus-host disease did not differ significantly between the groups. The 5-year event-free survival (EFS) rate was 69.8% in the KIR-L match group and 74.0% in the KIR-L mismatch group ($p=0.490$). Stratification by CD34+ cell dose into four groups revealed a significant correlation between CD34+ cell dose and EFS in the KIR-L mismatch group ($p=0.006$) but not in the KIR-L match group ($p=0.325$). According to our multivariate analysis, KIR-L mismatch with a high CD34+ cell dose (\geq median dose) was identified as an independent favorable prognostic factor for EFS (hazard ratio=0.19, $p=0.029$) and for the cumulative incidence of relapse (hazard ratio=0.09, $p=0.021$). Our results suggested that higher CD34+ cell doses are crucial for achieving a potent GVL effect in the context of KIR-L-mismatched CBT.

Keywords Acute myeloid leukemia, Children, Cord blood cell transplantation, KIR-ligand

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To the Editor

In children with acute myeloid leukemia (AML), hematopoietic stem cell transplantation (HSCT) is an essential treatment modality [1], and cord blood transplantation (CBT) is a well-established procedure [2–4]. Although killer Ig-like receptor ligands (KIR-L) mismatch has been associated with alloreactive natural killer (NK) cell activity and potent graft-versus-leukemia (GVL) effect among adults with AML [5, 6], its roles among children with AML receiving CBT has not been determined [7, 8].

We conducted a retrospective study using a nationwide registry in Japan, and explored the associations of KIR-L incompatibility and other clinical factors with patient outcomes in children with AML who received CBT in complete remission. A detailed description of methods can be found in Additional file 1.

Findings

A total of 299 patients were included, consisting of 238 patients in the KIR-L match group and 61 patients in the KIR-L mismatch group (Additional file 1: Figure S1). The background characteristics of two groups were overall similar (Additional file 1: Table S1). The median follow-up period for survivors was 7.2 years (range, 0.1–22.4). The cumulative incidence rates of neutrophil recovery, platelet engraftment, and acute/chronic graft-versus-host disease did not differ significantly between the groups (Additional file 1: Figure S2–4).

The 5-year event-free survival (5y-EFS) rate was 69.8% for the KIR-L match group and 74.0% for the KIR-L mismatch group ($p=0.490$; Table 1). The 5-year cumulative incidences of relapse were 22.3% and 15.2% ($p=0.257$), and the 5-year cumulative incidences of non-relapse mortality (NRM) were 7.9% and 10.8% ($p=0.605$) for the KIR-L match and mismatch groups, respectively, and the causes of death were similar between the groups (Additional file 1: Tables S2 and S3).

As a number of previous studies have suggested that CD34+cell doses have an impact on the survival and/or engraftment [9–11], we stratified patients by CD34+cell dose, and univariate analysis revealed a significant correlation between higher CD34+cell dose and better EFS in the KIR-L mismatch group, as 5y-EFS was 34.3% for those with less than 1×10^5 /kg, 71.8% for those with $1-2 \times 10^5$ /kg, 86.7% for those with $2-3 \times 10^5$ /kg, and 90.9% for those with $\geq 3 \times 10^5$ /kg CD34+cell doses (Fig. 1B, $p=0.006$). On the other hand, this correlation was not detected in the

KIR-L match group (Fig. 1A; $p=0.325$). The impacts of CD34+cell doses on EFS in the KIR-L mismatch group was attributed not only to the lower NRM but also to the lower relapse rate among those receiving higher doses, although neither was significant according to univariate analysis (Fig. 1C and D). These results were similar when we classified the patients into two groups: one with CD34+cell doses less than the median (referred to as CD34^{low}) and the other with CD34+cell doses equal to or greater than the median (CD34^{high}) (Additional file 1: Figure S5).

In the multivariate analysis for EFS, the KIR-L^{mismatch}-CD34^{high} (\geq median dose) subgroup was identified as an independent favorable prognostic factor (hazard ratio=0.19, $p=0.029$; Table 1). We also performed multivariate analysis for the incidence of relapse, and the KIR-L^{mismatch}-CD34^{high} subgroup was identified as an independent favorable prognostic factor (hazard ratio=0.09, $p=0.021$; Additional file 1: Table S4).

Discussion

In this study, CD34+cell doses were associated with outcomes in the KIR-L mismatch group but not in the KIR-L match group. Consequently, children who received KIR-L-mismatched CBT with high CD34+cell doses had the best outcome.

To our knowledge, this was the first study in which higher CD34+cell doses were associated with not only the lower NRM but also the lower relapse rate in the setting of KIR-L-mismatched CBT for children with AML. One interesting study showed that higher infused CD34+cell doses promoted early reconstitution of NK cells. This, in turn, was associated with a reduced relapse rate and improved survival [12]. These results are compatible with our finding that infusion of higher CD34+cell doses is important when we expect relapse-reducing effects from NK cells. Moreover, the association between cell dose and survival was strengthened, as we observed a dose-response relationship, as shown in Fig. 1B. Notably, there was no clear association between CD34+cell dose and survival among those receiving KIR-L-matched CBT (Fig. 1A). This was thought to be a reasonable result, as in the setting of KIR-L-matched CBT, we could not expect a GVL effect from NK cells even when the number of NK cells was greater. As the number of patients in the KIR-L match group was limited ($n=61$), a clinical trial including a larger number of patients is warranted to verify the results of this study.

Table 1 Univariate and multivariate analysis for event-free survival

		Univariate analysis			Multivariate analysis	
		n	5y EFS (95% CI)	P value	Hazard ratio (95% CI)	P value
Age at HSCT, years old	0–4	144	73.3 (65.1–79.9)	0.918	ref	
	5–9	78	68.2 (55.9–77.7)			
	10–15	77	68.2 (56.0–77.6)			
TNC*	< median	147	69.8 (61.4–76.8)	0.772		
	≥ median	147	71.2 (62.9–78.1)			
CD34+ cells*	< median	143	65.1 (56.3–72.6)	0.093		
	≥ median	143	76.3 (68.1–82.7)			
KIR-L	match	238	69.8 (63.2–75.4)	0.490		
	mismatch	61	74.0 (60.4–83.5)			
KIR-L and CD34	KIR-L match-CD34 low	112	67.0 (57.1–75.2)	0.096	ref	
	KIR-L mismatch-CD34 low	31	58.0 (37.9–73.7)			
	KIR-L match-CD34 high	115	73.4 (63.9–80.7)			
	KIR-L mismatch-CD34 high	28	89.1 (70.0–96.4)			
CR status at HSCT	CR1	212	73.4 (66.6–79.0)	0.255	ref	
	CR2	87	63.9 (52.5–73.3)			
HSCT Year	2000–2009	97	66.6 (56.2–75.1)	0.393	ref	
	2010–2021	202	72.4 (65.2–78.3)			
HCT-CI	0	219	72.9 (66.2–78.5)	0.666		
	1	20	56.2 (29.2–76.4)			
	2	3	66.7 (5.4–94.5)			
	3	1	NA			
	6	1	NA			
	Conditioning regimen	chemo-MAC	120			
TBI-MAC	129	58.6 (49.3–66.8)				
RIC	50	82.4 (67.7–90.9)				
GVHD prophylaxis	CSA-based	86	67.5 (56.2–76.4)	0.347		
	TAC-based	208	71.8 (64.8–77.6)			
ATG	No	288	70.6 (64.8–75.7)	0.957		
	Yes	11	70.0 (32.9–89.2)			
ECOG PS	0–1	262	71.1 (65.0–76.4)	0.954		
	2–4	13	75.0 (40.8–91.2)			
Recipient CMV serostatus	Negative	95	72.9 (62.2–81.1)	0.877	ref	
	Positive	175	70.3 (62.7–76.7)			
Donor recipient sex mismatch	Match	115	74.3 (64.6–81.7)	0.631		
	F to M	75	67.1 (55.0–76.6)			
	M to F	65	68.1 (54.4–78.5)			
Cytogenetic risk	Favorable	51	74.0 (59.4–84.0)	0.639	ref	
	Intermediate	192	70.0 (62.7–76.2)			
	Adverse	56	69.7 (55.4–80.3)			
grade II–IV acute GVHD**	No		[-]		ref	
	Yes		[-]			

*The median total nucleated cell and CD34+ cell doses were $6.7 \times 10^7/\text{kg}$ (range, 0.01–12.3) and $1.9 \times 10^5/\text{kg}$ (range, 0.01–59.4), respectively

**GVHD was treated as a time-dependent covariate in the multivariate analysis

Abbreviations: EFS, event-free survival; CI, confidence interval; HSCT, hematopoietic stem cell transplantation; TNC, total nucleated cell count; CR, complete remission; KIR, killer cell immunoglobulin-like receptor; HCT-CI, hematopoietic cell transplantation-specific comorbidity index; TBI, total body irradiation; MAC, myeloablative conditioning; RIC, reduced-intensity conditioning; CSA, cyclosporine A; TAC, tacrolimus; ATG, antithymocyte globulin; ECOG, Eastern Cooperative Oncology Group; PS, performance status; CMV, cytomegalovirus; F, female; M, male; GVHD, graft-versus-host disease.

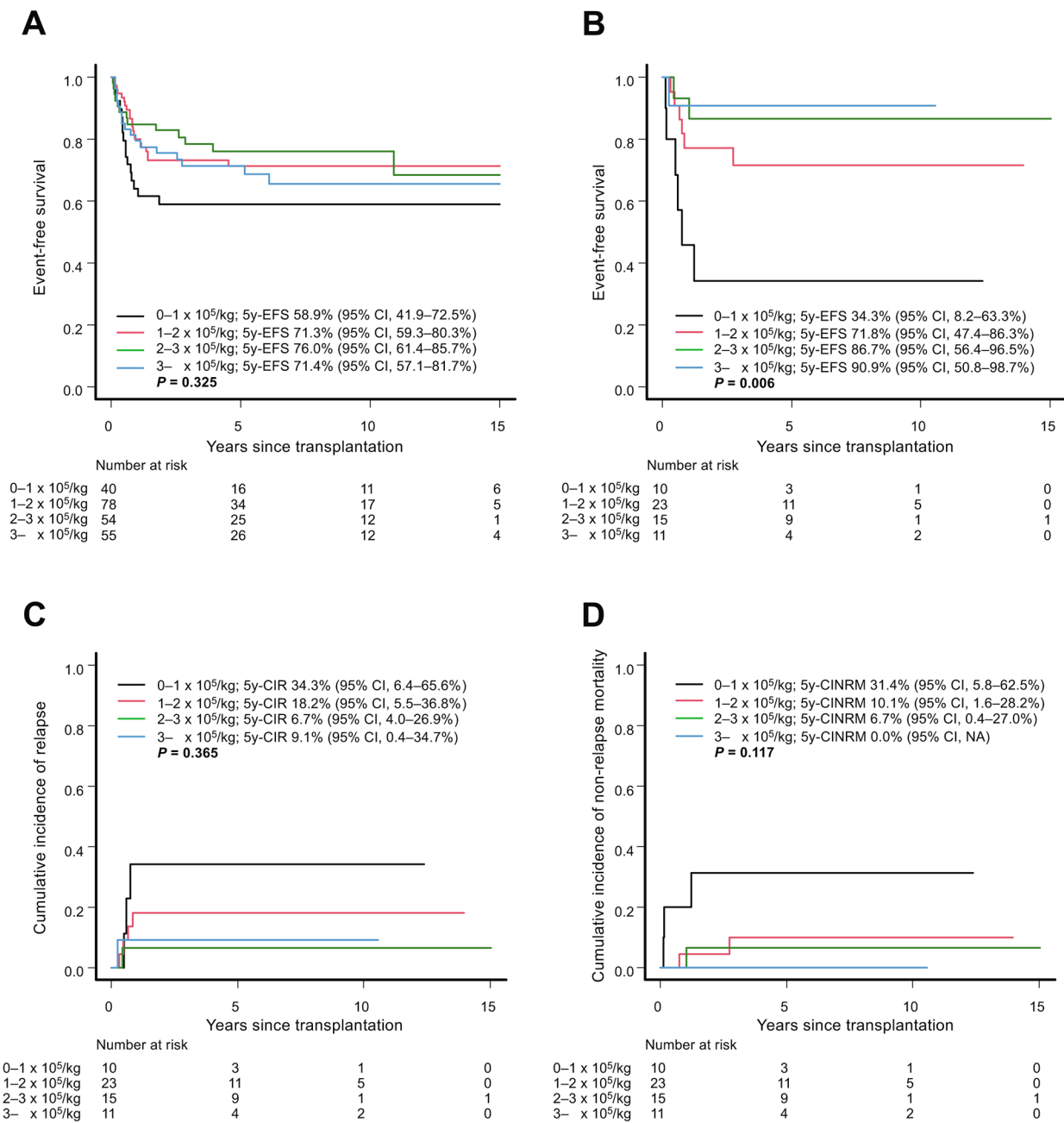


Fig. 1 Event-free survival according to the infused CD34+ cell dose in the (A) KIR-ligand match group and (B) KIR-ligand mismatch group. The cumulative incidence of (C) relapse and (D) non-relapse mortality according to the infused CD34+ cell dose in the KIR-ligand mismatch group is also shown. EFS, event-free survival; CIR, cumulative incidence of relapse; CINRM, cumulative incidence of non-relapse mortality; CI, confidence interval; NA, not available; KIR, killer immunoglobulin-like receptor

Abbreviations

- AML Acute myeloid leukemia
- HSCT Hematopoietic stem cell transplantation
- CBT Cord blood cell transplantation
- KIR-L Killer immunoglobulin-like receptors ligand
- NK Natural killer
- GVL Graft-versus-leukemia
- GVHD Graft-versus-host disease
- 5y-EFS 5-year event-free survival
- NRM Non-relapse mortality

Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s13045-024-01548-3>.

Supplementary Material 1

Author contributions

HI: Conceptualization, methodology, data curation, formal analysis, funding acquisition, and writing—original draft. YKawahara: Methodology, formal

analysis and writing–reviewing and editing. DT: Methodology, formal analysis and writing–reviewing and editing. YO: Methodology, formal analysis and writing–reviewing and editing. AH: Methodology, formal analysis and writing–reviewing and editing. YC: Investigation and writing–reviewing and editing. KKoh: Investigation and writing–reviewing and editing. YKoga: Investigation and writing–reviewing and editing. NY: investigation and writing–reviewing and editing. MS: Investigation and writing–reviewing and editing. KTerui: Investigation and writing–reviewing and editing. NM: Investigation and writing–reviewing and editing. AW: Investigation and writing–reviewing and editing. JT: Investigation and writing–reviewing and editing. RK: Investigation and writing–reviewing and editing. MY: Investigation and writing–reviewing and editing. KW: Investigation and writing–reviewing and editing. KO: Investigation and writing–reviewing and editing. KKato: Data curation and writing–reviewing and editing. KM: Data curation and writing–reviewing and editing. MH: Data curation and writing–reviewing and editing. KTabuchi: Data curation and writing–reviewing and editing. HS: Methodology, formal analysis and writing–reviewing and editing. All authors read and approved the final manuscript.

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Data availability

The data of this study are not publicly available due to ethical restrictions that it exceeds the scope of the recipient/donor's consent for research use in the registry.

Declarations

Ethics approval and consent to participate

The study was approved by the Data Management Committee of the TRUMP and the institutional ethics committee of Okayama University (2305-004). Patients or their parents provided written consent to undergo transplantation and for the use of medical records for research, in accordance with the Declaration of Helsinki.

Competing interests

The authors declare no competing interests.

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